

**Part III- List of Documents, Exhibits and Other Attachments**  
**Section J- List of Attachments**

**Attachment D.2- Baseline List of Required Compliance Documents**  
**List B - List of Applicable Directives**

<b>DOE Directives</b> <b>may be found at the following address:</b> <a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a>				
Required Compliance Document	Document Date	Title	Through Change	Notes and Comments
DOE O 130.1	09/29/1995	Budget Formulation		
DOE O 137.1A	08/30/1999	Plan for Operating in the Event of a Lapse in Appropriations		
DOE O 142.3A	10/14/2010	Unclassified Foreign Visits and Assignments Program		
DOE O 150.1A	03/31/2014	Continuity Programs		
DOE O 151.1C,	11/02/2005	Comprehensive Emergency Management System		
DOE O 153.1	06/27/2007	Departmental Radiological Emergency Response Assets		Footnote (4)
DOE O 200.1A	12/23/2008	Information Technology Management		
DOE M 200.1-1	03/10/1997	Telecommunications Security Manual (Official Use Only)		
DOE O 205.1B Administrative Change 2	03/11/2013	Department of Energy Cyber Security Program		
DOE M 205.1-3 Administrative Change 1	12/20/2012	Telecommunications Security Manual		
DOE O 206.1	06/11/2009	Department of Energy Privacy Program		
DOE O 206.2	02/19/2013	Identity, Credential, and Access Management (ICAM)		
DOE O 210.2A	04/08/2011	DOE Corporate Operating Experience Program		
DOE O 221.1A	04/19/2008	Reporting Fraud, Waste and Abuse to the Office of Inspector General		
DOE O 221.2A	02/25/2008	Cooperation with the Office of Inspector General		
DOE O 221.3	12/17/2001	Establishment of Management Decisions on Office of Inspector General Reports		
DOE O 225.1B	03/04/2011	Accident Investigations		
DOE O 227.1	08/30/2011	Independent Oversight Program		
DOE O 231.1B Administrative Change 1	11/28/2012	Environment, Safety and Health Reporting		
DOE O 232.2 Administrative Change 1	03/12/2014	Occurrence Reporting and Processing of Operations Information		
DOE O 241.1B	12/13/2010	Scientific and Technical Information Management		

<b>DOE Directives may be found at the following address: <a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a></b>				
DOE O 243.1B, Administrative Change 1	07/08/2013	Records Management Program		
Compliance Line: Implementation Plan, approved by DOE on 06/26/2012.				
DOE O 252.1A, Administrative Change 1	03/12/2013	Technical Standards Program		
DOE O 350.1, Change 5	09/30/2014	Contractor Human Resource Management Programs		
DOE O 410.2, Administrative Change 1	04/10/2014	Management of Nuclear Materials		Footnote (2)
DOE O 412.1	04/20/1999	Work Authorization System		
DOE O 413.1B	10/28/2008	Internal Control Program		Footnote (4)
DOE O 413.3B	11/29/2010	Program and Project Management for the Acquisition of Capital Assets		
DOE O 414.1D Administrative 1	05/08/2013	Quality Assurance		ES&H-related Directive included in WSS. See Footnote (3).
DOE O 420.1C	11/28/2012	Facility Safety		ES&H-related Directive included in WSS. See Footnote (3).
DOE O 430.1B, Change 2	04/25/2011	Real Property Asset Management		
DOE O 435.1, Change 1	08/28/2001	Radioactive Waste Management		ES&H-related Directive included in WSS. See Footnote (3).
DOE M 435.1-1, Administrative Change 2	06/08/2011	Radioactive Waste Management Manual		ES&H-related Directive included in WSS. See Footnote (3).
DOE O 436.1	05/02/2011	Departmental Sustainability		
DOE M 440.1-1A	01/09/2006	DOE Explosives Safety Manual		ES&H-related Directives included in WSS. See Footnote (3).
DOE O 440.2C, Administrative Change 1	06/22/2011	Aviation Management and Safety		ES&H-related Directive included in WSS. See Footnote (1).
DOE O 442.1A	06/06/2001	Department of Energy Employee Concerns Program		
DOE O 442.2	07/29/2011	Differing Professional Opinions for Technical Issues Involving Environmental, Safety and Health Technical Concerns		
DOE O 443.1B	03/17/2011	Protection of Human Subjects		
DOE P 450.7	08/02/2004	Department of Energy Environment, Safety and Health (ES&H) Goals		
DOE O 451.1B, Change 1	09/28/2001	National Environmental Policy Act Compliance Program		ES&H-related Directive included in WSS. See Footnote (3).
DOE O 452.7	05/14/2010	Protection of Use Control Vulnerabilities and Designs		

<b>DOE Directives</b> may be found at the following address: <a href="http://www.directives.doe.gov/">http://www.directives.doe.gov/</a>				
DOE O 458.1, Administrative Change 3	01/15/2013	Radiation Protection of the Public and the Environment		ES&H-related Directive included in WSS. See Footnote (3).
DOE O 460.1C	05/14/2010	Packaging and Transportation Safety		ES&H-related Directive included in WSS. See Footnote (1).
DOE O 460.2A	12/22/2004	Departmental Materials Transportation and Packaging Management		
DOE M 460.2-1A	06/04/2008	Radioactive Material Transportation Practices Manual for Use With DOE O 460.2A		Footnote (2).
DOE P 470.1A	12/29/2010	Safeguards and Security Program		
DOE O 470.3B	08/12/2008	Graded Security Protection (GSP) Policy		
DOE O 470.4B, Administrative Change 1	02/15/2013	Safeguards and Security Program		
DOE O 470.5	06/02/2014	Insider Threat Program		
DOE O 471.1B	03/01/2010	Identification and Protection of Unclassified Controlled Nuclear Information		
DOE M 471.3-1, Administrative Change 1	01/13/2011	Manual for Identifying and Protecting Official Use Only Information		
DOE O 471.3, Administrative Change 1	01/13/2011	Identifying and Protecting Official Use Only Information		
DOE O 471.6, Administrative Change 1	11/23/2012	Information Security		
DOE O 472.2, Change 1	07/09/2014	Personnel Security		
DOE O 473.3	06/27/2011	Protection Program Operations		
DOE O 474.2, Administrative Change 2	11/19/2012	Nuclear Material Control and Accountability		
DOE O 475.1	12/10/2004	Counterintelligence Program		
DOE O 475.2B	10/03/2014	Identifying Classified Information		
DOE M 481.1-1A Change 1	09/28/2001	Reimbursable Work For Non-Federal Sponsors Process Manual		
DOE O 481.1B	09/28/2001	Work for Others (Non-Department of Energy Funded Work)		
DOE O 484.1, Administrative Change 2	06/30/2014	Reimbursable Work for the Department of Homeland Security		
DOE O 522.1	11/03/2004	Pricing of Departmental Materials and Services		
DOE O 534.1B	01/06/2003	Accounting		
DOE O 551.1D	04/02/2012	Official Foreign Travel		
DOE M 573.1-1	07/12/2000	Mail Services User's Manual		
DOE O 580.1A	03/30/2012	Department of Energy Personal Property Management Program		
DOE 2340.1C	06/08/1992	Coordination of General Accounting Office Activities		

<b>Work Smart Standards (WSS) Sets may be found at the following address: <a href="http://www.ornl.gov/orise/wss.htm">http://www.ornl.gov/orise/wss.htm</a></b>				
<b>Required Compliance Document</b>	<b>Approval Date</b>	<b>Title</b>	<b>Change # Approval Date</b>	<b>Notes and Comments</b>
WSS Set 40	06/18/2014	Oak Ridge Institute for Science and Education (ORISE) Work Smart Standards Set		

**FOOTNOTES:**

- (1) This document is not directly applicable to the Contractor; it is included in the list of applicable documents because the Contractor must provide certain information or input to DOE in order for DOE to comply with requirements specified in the document.
- (2) The Contractor does not perform activities which fall within the scope of this directive at this time. If these activities are conducted at some future date, the requirements contained in this document will be applicable to the Contractor and programs to implement the requirements of this document will be established when and if such activities are to be initiated.
- (3) This document is ES&H-related and appears on the current Work Smart Standards Set. In a WSS Set, the document may be referenced in its entirety or only certain chapters, paragraphs, or sections.
- (4) The requirements of this document are applicable; however, specific additional clarification or guidance is required from DOE before such requirements can be implemented in full as indicated in written requests for direction from the Contractor.